COMMON TRANSACTION FORM

Please fill in the information below legibly in English and in CAPITALS

Diviniti SIF
offered by ITI Mutual Fund

DISTRIBUTOR INFORMATION					FOR OFFICE USE ONLY	
Distributor Name & Code	Sub-Distributor Code	Internal Code for Sub-Broker/Employee	EUIN*	RIA Code	Registrar/Bank Serial No.	Date and Time of Receipt
ARN-	ARN-					

Please sign alongside in case the EUIN is left blank/not provided. EUIN Declaration: I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker.

RIA Declaration: I/We hereby give you my/our consent to share/provide the transactions data feed/portfolio holdings/NAV etc. in respect of my/our investments under Direct Plan of all Investment Strategy managed by you, to the above mentioned SEBI-Registered Investment Adviser/RIA.

First/Sole Unit Holder/ Guardian	Second Unit Holder	Third Unit Holder

1. APPLICANT'S INFORMATION

Folio No. ____________ PAN ____________

1st/Sole Unit Holder Name ____________

Legal Entity Identifier (LEI) Code ____________ Validity till D D M M Y Y Y Y

Legal Entity Identifier Number is Mandatory for Transaction value of INR 50 crore and above for Non-Individual investors.

2. ACCREDITED INVESTOR DETAILS

Certificate Number: ____________ Validity Number: D D M M Y Y Y Y

3. INVESTMENT STRATEGY DETAILS

Investment Stratgey Name ____________ **Plan** (Please ✓) ○ Regular ○ Direct

Option: ○ Growth ○ IDCW# Reinvest ○ IDCW# Payout *(Default Option will be Growth in case option not selected or in case of any ambiguity.)*

4. UNIT HOLDING OPTION ○ Demat Mode* ○ Physical Mode (Default)

*Demat Account details are mandatory if the investor wishes to hold the units in Demat Mode.

NSDL DP Name ____________ DP ID I N ____________ Beneficiary Account No. ____________

CDSL DP Name ____________ Beneficiary Account No. ____________

*Investor opting to hold units in Demat Form, may provide a copy of the DP statement/Client Master List (CML) to match Demat details as stated in the Application Form.

4. ADDITIONAL PURCHASE REQUEST

Payment Options ○ Cheque/DD ○ RTGS/NEFT ○ Transfer ○ One Time Mandate Dated D D M M Y Y Y Y

Cheque/DD/RTGS/NEFT UTR No. ____________ UMRN No. ____________

Amount (₹) (i) ____________ DD charges (₹) (ii) ____________ Net Amount (₹) (i) + (ii) ____________

Amount in words ____________ Bank Name & Branch ____________

*OTM facility can be used only if, already registered in folio. In case OTM is not registered, please fill OTM Form to make future transactions via OTM.

5. REDEMPTION

○ Amount: ₹ ____________ or ○ No. of Units: ____________ or ○ All Units (Please ✓)

For Investor, who has registered for the multiple Bank A/cs.: The redemption should be processed into the below bank account as per the payout mechanism indicated by me/us (This bank account has already been registered in the folio):

Please credit the redemption proceeds to the below Bank Account which has been registered with you (Applicable only in case multiple banks are registered. Bank details are not required to be mentioned if the proceeds are required to be credited in the default bank mandate registered in the folio).

Bank Name: ____________ Branch: ____________

Account No.: ____________ Account Type: ____________ Bank City: ____________

6. SWITCH

Frome Investment Strategy Name ____________

Amount (₹) ____________ Or Units: ____________ Or ○ All Units

Plan/Option/Sub Option: ____________

To Investment Strategy Name ____________

Plan/Option ____________

Sub Option ____________

7. DECLARATION

I/We have read and understood the contents of the Statement of Additional Information (SAI) & respective Investment Strategy Information Document (ISID) and Key Information Memorandum (KIM) and Addendums. I/We agree to abide by the terms, conditions, rules & regulations of the Investment Strategy(s) as applicable from time to time. Amount invested/to be invested in the Investment Strategy(s) is derived through legitimate sources.

The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Investment Strategy of various Diviniti SIF from amongst which the Investment Strategy is being recommended to me/us.

	SIGNATURE(S)		
Date D D M M Y Y Y Y Place ____________	Sole/First Applicant/Guardian/ PoA/Authorised Signatory	Second Applicant/PoA/Authorised Signatory	Third Applicant/PoA/Authorised Signatory

To be signed by unit holders as per mode of holding.

ACKNOWLEDGEMENT SLIP (To be filled in by the investor)

Received from: Mr./Ms./M/s. ____________

Folio No.: ____________ Investment Strategy: ____________

Plan: ____________ Option: ____________

○ Additional Purchase: Cheque No.: ____________ Dated: ____________ Drawn on ____________ R ____________

○ Redemption ○ Switch: Amount (₹) ____________ or Units ____________ or ○ All Unit

For Office use

(Signature of receiving authority)

Date and Time of Receipt:

TERMS AND CONDITIONS

GENERAL INSTRUCTIONS

1. Please read the Key Information Memorandum/Investment Strategy Information Document of the Investment Strategy and Statement of Additional Information and addenda issued from time to time carefully before investing in the Investment Strategy. Investors are requested to read and acquaint themselves about the prevailing Load structure on the date of submitting the Application Form.
2. Please tick in the appropriate box for relevant options wherever applicable. Do not overwrite. For any correction/changes if made in the Application Form, the Applicant(s) shall enter the correct details pursuant to cancellation of incorrect details and authenticate the corrected details by counter-signing against the changes. The Application Form number/Folio number should be written by the Investors on the reverse of the cheques and bank drafts accompanying the Application Form. Applications incomplete in any respect are liable to be rejected. ITI Asset Management Company Limited (the AMC)/ITI Trustee Company Private Limited (Trustee) have absolute discretion to reject any such Application Forms.
3. According to guidelines issued by SEBI under 'The Prevention of Money Laundering Act, 2002, it is made compulsory for all unitholders to be KRA-KYC compliant while making an application for subscription/Switch/Redemption of units.
4. Employee Unique Identification Number (EUIN): For applications routed through distributors, in addition to the ARN of the distributor, unitholders are requested to provide the EUIN of the individual ARN holder or of employee/relationship manager/sales person of the distributor interacting with the investor. Providing appropriate EUIN would assist in tackling the problem of mis-selling even if the sales personnel on whose advice the transaction was executed by investor leaves the employment of the distributor or his/her sub broker. If the distributor has not given any advice pertaining to the investment (i.e. transaction is 'execution only'), then the EUIN box may be left blank, but it would be mandatory for the investor to provide signed confirmation as mentioned in the form. EUIN is not required to be provided for redemption transactions.
5. Legal Entity Identifier number: As per the RBI circular "Introduction of Legal Entity Identifier for Large Value Transactions in Centralised Payment Systems" vide notification RBI/2020-21/82 DPSS.CO.OD No.901/ 06.24.001/2020-21 dated 5th January 2021. RBI vide this notification has decided to introduce the LEI system for all payment transactions of value INR 50 crore and above undertaken by entities (non-individuals) using Reserve Bank-run Centralised Payment Systems viz. Real Time Gross Settlement (RTGS) and National Electronic Funds Transfer (NEFT). From April 1, 2021, it is mandatory to include 20-digit Legal Entity Identifier (LEI) information while initiating any transaction of value INR 50 crore and above by entities (non-Individual).
6. Declaration and Signatures: Signature should be in black or blue ink only. Signatures should be in English or in any Indian language. Thumb impressions and Signatures in languages not specified in the Eight Schedule of the Constitution of India should be attested by a Magistrate or a Notary Public or a Special Executive Magistrate under his/her official seal. In case of HUF, the Karta will sign on behalf of the HUF. Applications on behalf of minors should be signed by their Guardian.
7. Diviniti SIF offered by ITI Mutual Fund, reserves the right to reject any application inter alia in the absence of fulfilment of regulatory requirements, fulfilment of requirements of the ISID, SAI and furnishing necessary information to the satisfaction of the Diviniti SIF.

8. ADDITIONAL PURCHASE REQUEST:

1. Investors should indicate the Plan/Option for which the application is made. In case Investors wish to opt for both the Options, separate Application form will have to be filled. In case applications are received where option/sub-option for investment is not selected the default option/Sub option as prescribed in KIM will be applicable. If the Plan is not mentioned on the transaction form, then the units will be allotted under the Plan mentioned on the Cheque/Demand Draft.
2. Investors subscribing under Direct Plan of the Investment Strategy will have to indicate "Direct Plan" against the Investment Strategy Name in the application form. However, if distributor code is mentioned in application form, but "Direct Plan" is mentioned against the Investment Strategy Name, the distributor code will be ignored and the application will be processed under "Direct Plan". Further, where application is received for Regular Plan without Distributor code or "Direct" mentioned in the ARN Column, the application will be processed under Direct Plan. Transactions received in Regular Plan with Invalid ARN will be processed in Direct Plan.
3. If the Investment Strategy Name on the application form and on the payment instrument is different, the application will be processed and units will be allotted as per the Investment Strategy Name mentioned in the application/transaction slip duly signed by investor(s).
4. In case of payment from a joint bank account, first holder in the folio has to be one of the joint holders of the bank account from which the payment is made. Hence, joint holders may pre-register their bank accounts (single/multiple) with the AMC/RTA, by completing the Multiple Bank Account Registration Form, if they intend to make payment on behalf of other joint holder(s) in the folio. In such cases the application will be accepted and not treated as a third party payment.
5. Unitholder should attach necessary supporting documents, where the payment instrument does not mention the bank account holders name/s.
6. For RTGS/NEFT/online bank transfer etc., a copy of the instruction to the bank stating the account number debited must accompany the purchase application.
7. Outstation Demand Draft has been defined as a demand draft issued by a bank where there is no ISC available for Investors. Payment through outstation cheques and cash will not be accepted. The cheque should be drawn in favor of "Diviniti SIF offered by ITI Mutual Fund" and should be crossed 'Account Payee Only'. Returned cheques will not be presented again for collection and the accompanying application will be rejected.
8. NRIs/FIIs: Repatriation basis: Payments by NRIs/FIIs may be made by way of cheques drawn on non-resident external accounts payable at par and payable at the cities where the Investor Service Centers are located. Non-Repatriation basis: NRIs investing on a non-repatriable basis may do so by issuing cheques drawn on Non-Resident Ordinary (NRO) account payable at the cities where the Investor Service Centers are located.
9. Additional Purchase through OTM facility: If the payment is through OTM registered in folio, please tick the relevant box and do not attach any cheque. If more than one bank account is registered in folio under OTM facility, please mention the bank account number and bank name from where the debit to be happened. If the same is not mentioned or is not registered, default bank mandate under OTM facility will be considered to debit the purchase amount.
10. Transaction will be rejected in case Additional Purchase request is made along with Redemption and Switch requests and vice-versa. Transactions pertaining to two or more folios are not permissible in single transaction slip.
11. In case of demat mode, Investor's name, mode of holding, PAN details will be verified against the depository data. Units will be credited to the beneficiary (demat) account only after successful verification with the depository records and realization of payment.
12. Rs. 25,000/- and in multiples of ₹1/- thereafter subject to the remaining amount at an aggregate level across SIF at a PAN level is more than ₹10,00,000/- . In case the aggregate investment across all strategies under the SIF, at the PAN level, is less than ₹10,00,000/-, the Redemption/switch out transaction will be rejected.

REDEMPTION/SWITCH REQUEST:

1. Redemption/Switch may not be processed if folio number and full Investment Strategy name including plan and option is not mentioned.
2. If an investor submits a redemption/switch request mentioning both the number of units and the amount to be redeemed/switched in the transaction slip, then the AMC reserves the right to process the redemption/switch for the number of units and not for the amount mentioned.
3. Redemptions/Switches will be processed only if amount/ units are clearly mentioned. If there is no sufficient amount/units, the balance available free units in the respective account/folio will be redeemed/ shifted/switched.
4. If an investor submits a redemption request mentioning only the name of the Investment Strategy/plan and folio number but not mentioning the units and the amount for redemption, it will be assumed that the redemption request is for all the units.
5. In case of investment in the name of minor, payment for investment must be from the bank account of the minor or from a joint account of the minor where the joint holder should be same as the guardian mentioned in the folio or else the transaction is liable to be rejected.
6. If Redemption is with change/update in bank mandate, there will be cooling period of 10 calendar days for processing and registration of new bank account. In case of receipt of valid redemption request during the said cooling period, dispatch of redemption proceeds shall be completed after the completion of cooling period but within 10 business days from the date of processing of redemption request.